

PUMPING LEMMA

A property of regular sets

- Weak version
- Strong version
- Applications



PUMPING LEMMA (WEAK)

If a language L is accepted by a DFA M with m states, then any string x in L with $|x| \geq m$ can be written as $x = uvw$ such that

(1) $v \neq \varepsilon$, and

(2) uv^*w is a subset of L

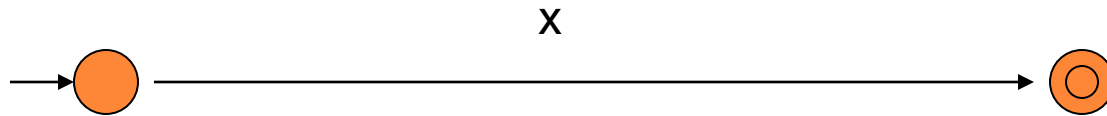
(i.e., for any $n \geq 0$, uv^nw in L).

n



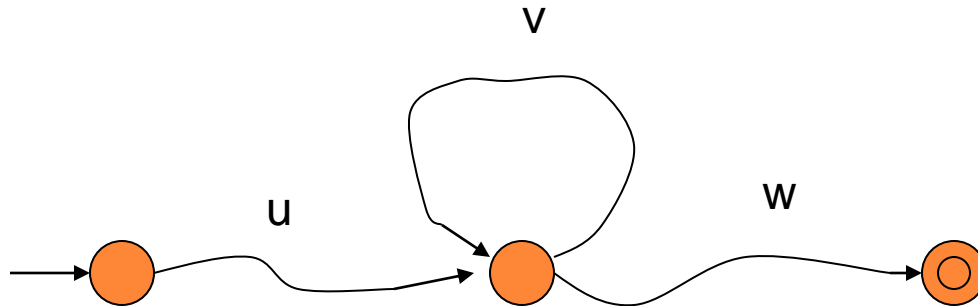
PROOF

- Consider the path associated with x ($|x| > m$).



Since $|x| \geq m$, # of nodes on the path is
At least $m+1$. Therefore, there is a state
Appearing twice.





$v \neq \varepsilon$ because M is DFA

uw in L because there is a path associated with uw from initial state to a final state.

uv^nw in L due to the same reason as above



$L = \{0^n \mid n \in \mathbb{N} \text{ is a prime}\}$ IS NOT REGULAR.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Let m be the number of states of M . Consider a prime $p > m$. By Pumping Lemma, $0^p = uvw$ such that $v \neq \epsilon$ and

uv^*w is a subset of L . Thus,

$$p = |u| + |v| + |w|$$

and for any $k \geq 0$, $|u| + k|v| + |w|$ is a prime.



For $k = 0$, $|u| + |w|$ is a prime.

For $k = |u| + |w|$, $|u| + k|v| + |w|$
 $= (|u| + |w|)(1 + |v|)$
is a prime. (-><-)



$L = \{0^i 1^i \mid i \geq 0\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $0^m 1^m$.

By Pumping Lemma, $0^m 1^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $uv^n w$ in L .

Case 1. v is a substring of 0^m .

uw in L , but uw contains less 0's than 1's. (-><-)

Case 2. v is a substring of 1^m .

uw in L , but uw contains less 1's than 0's. (-><-)

Case 3. v contains both 0 and 1.

$uvvw$ in L , but $uvvw$ contains 10. ($\rightarrow\leftarrow$)



PUMPING LEMMA (STRONG)

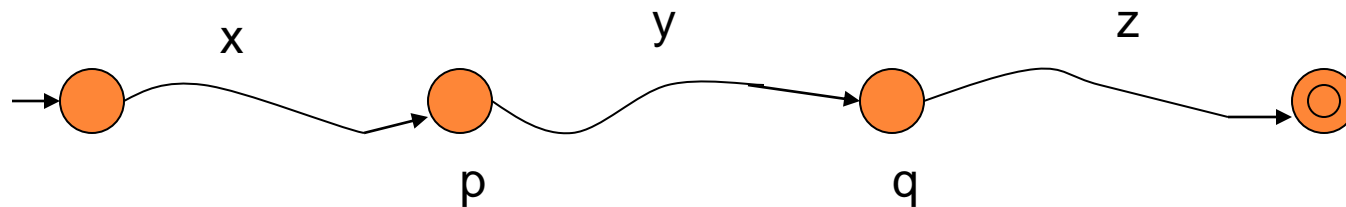
- If a language L is accepted by a DFA M with m states, then any string xyz in L with $|y| \geq m$ can be written as $y = uvw$ such that (1) $v \neq \varepsilon$, and (2) xuv^*wz is a subset of L .
(for $n \geq 0$, xuv^nwy in L)

n



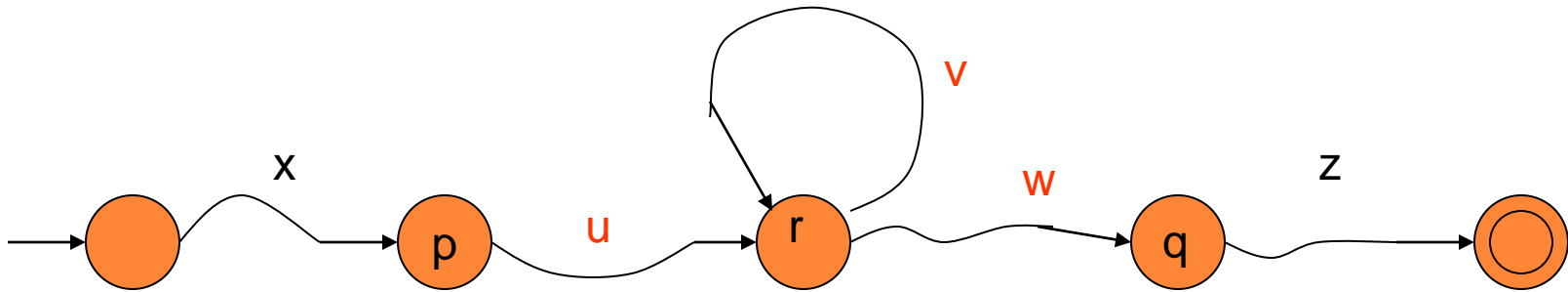
PROOF

- Since M is DFA, there is a path from initial state to a final state, associated with xyz .



Since $|y| \geq m$, there are at least $m+1$ nodes between p and q . Hence, there is a state r appearing twice.





$v \neq \epsilon$ because M is DFA (without ϵ -move).

$xuwz$ in L because a path associated with $xuwz$ exists from initial state to a final state.

$xuvvwz$ in L because a path associated with $xuvvwz$ exists from initial state to a final state.

$xuv^n wz$ in L



$L = \{0^i 1^i \mid i \geq 0\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $0^m 1^m$.

By Pumping Lemma, $0^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $uv^n w 1^m$ in L .

$uw 1^m$ in L , but uw contains less than m 0's. (-><-)



$L = \{x \text{ in } (0+1)^* \mid \#_1(x) = \#_0(x)\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $0^m 1^m$.

By Pumping Lemma, $0^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $uv^n w 1^m$ in L .

$uw 1^m$ in L , but uw contains less than m 0's. (-><-)



$L = \{0^i 1^j \mid i \geq j \geq 0\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $0^m 1^m$.

By Pumping Lemma, $0^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $uv^n w 1^m$ in L .

$uw 1^m$ in L , but uw contains less than m 0's. (-><-)



$L = \{0^i 1^j \mid i > j \geq 0\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $00^m 1^m$.

By Pumping Lemma, $00^m 1^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $0uv^n w1^m$ in L .

$0uw1^m$ in L , but uw contains less than m 0's. (-><-)



$L = \{a^i b^j c^k \mid i + j = k, i \geq 0, j \geq 0, k \geq 0\}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L = L(M)$ for some DFA M .

Suppose M has m states. Consider $b^m c^m$.

By Pumping Lemma, $b^m = uvw$ such that $v \neq \epsilon$ and for $n \geq 0$, $uv^n w c^m$ in L .

uwc^m in L , but uw contains less than m b 's. (-><-)



$L = \{ 0^{i^2} \mid i \geq 0 \}$ is not regular.

Proof. For contradiction, suppose L is regular. So, $L=L(M)$ for some DFA M .

Suppose M has m states. Consider 0^{m^2} .

By Pumping Lemma, $0^{m^2} = uvw$ such that $v \neq \varepsilon$ and for $n \geq 0$, uv^nw in L .

Set $a=|v|$ and $b=|uw|$. Then $a > 0$ and for $n \geq 0$, $an+b$ is a square.

Specially, when $n=0$, b is a square. Set $b = cc$.

When $n = a+2c$, $an+cc = (a+c)^2$.



Now, consider $n=a+2c+1$.

Note that $a+b = (a+c)^2 + a$.

But, $(a+c+1)^2 = (a+c)^2 + 2(a+c) + 1 > (a+c)^2 + a$.

Hence, $(a+c)^2 + a$ cannot be a square. (-><-)



Puzzle

- ❁ $A = \{wx \mid w \in (0+1)^*, x \in (0+1)^+ \text{ and } x = x^R\}$ is regular because it is equal to $(0+1)^*$. In fact, $0 = 0^R$ and $1 = 1^R$.
- ❁ $B = \{wx \mid w \in (0+1)^*, x \in (0+1)^+ \text{ and } x = x^R \text{ with odd } |x|\}$ is regular for the same reason.
- ❁ $C = \{wx \mid w \in (0+1)^*, x \in (0+1)^+ \text{ and } x = x^R \text{ with even } |x|\}$ is not regular because in this case, $x = yy^R$ so that $C = \{wyy^R \mid w \in (0+1)^*, y \in (0+1)^+\}$.
- ❁ Now, $C = A - B$. So, we obtain an example that a regular language subtracts another language and the result is not regular. What's wrong?

